AF/ 1600

PTO/SB/21 (01-03) Approved for use through 04/30/2003. OMB 0651-0031

Application Number 09/786,009 **TRANSMITTAL** Filing Date April 17, 2001

**FORM** 

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

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Signature

15

First Named Inventor Xu Art Unit 1652 Examiner Name W. Moore Attorney Docket Number NEB-150-PUS

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Date

		ENCLOSURES (Check all that apply)					
X	Fee Transmittal Form	Drawing(s)  After Allowance Communication to Group					
x	Express Abandonment Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	Licensing-related Papers  Petition Petition to Convert to a Provisional Application Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s)  Response under 37 CFR 1.116; Notice of Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below):  Response under 37 CFR 1.116; Notice of Appeal CEIV fee for Appeal \$160  AUG 2 5					
	SIGNA	TURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm or Individual Harriet M. Strimpel, D. Phil. (Reg. No. 37008)  Signature Hamil Shuifel  Date							
	C	ERTIFICATE OF TRANSMISSION/MAILING					
I hereb	v certify that this correspondence is being f	essimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as					

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Irademark Office, U.S. Department of Commerce Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032
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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

X Applicant claims small entity status. See 37 CFR 1.27

Signature

TOTAL AMOUNT	OF PAYMENT	(\$)	160.00

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Complete if Known						
Application Number	09/786,009					
Filing Date	April 17, 2001					
First Named Inventor	Xu					
Examiner Name	W. Moore					
Art Unit	1652					
Attorney Docket No.	NEB-150-PUS					

Date

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)								
X Check Credit card Money Other None	3. ADDITIONAL FEES								
	<u>Large</u>	Entity	Small	Entity					
X Deposit Account:	Fee	Fee	Fee	Fee	Fee Description				
Deposit Account 14-0740	Code			(\$)	Countries Into Eliza for another	Fee Paid			
Number	1051	130	2051		Surcharge - late filing fee or oath	<b></b>			
Deposit New England Biolabs, Inc.	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet				
Name The Commissioner is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification				
X Charge fee(s) indicated below X Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	<b></b>			
Charge any additional fee(s) during the pendency of this application	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action				
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action				
	1251	110	2251	55	Extension for reply within first month				
FEE CALCULATION	1252	410	2252	205	Extension for reply within second month	$\vdash$			
1. BASIC FILING FEE Large Entity Small Entity	1253	930	2253	465	Extension for reply within third month				
Fee Fee Fee Fee Description Fee Paid	1254	1,450	2254	725	Extension for reply within fourth month				
Code (\$)	1255	1.970	2255	985	Extension for reply within fifth month				
1001 750 2001 375 Utility filing fee	1401	320	2401	160	Notice of Appeal	160			
1002 330 2002 165 Design filing fee	1402	320	2402		Filing a brief in support of an appeal				
1003 520 2003 260 Plant filing fee	1403	280	2403		Request for oral hearing				
1004 750 2004 375 Reissue filing fee		1,510	1451		Petition to institute a public use proceeding				
1005 160 2005 80 Provisional filing fee	1452	110	2452		Petition to revive - unavoidable				
SUBTOTAL (1) (\$)			ŀ						
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1,300	2453 2501		Petition to revive - unintentional Utility issue fee (or reissue)				
Fee from Extra Claims below Fee Paid	1501	470	2502		Design issue fee				
Total Claims	1502	630	2502		6 Plant issue fee				
Independent 3** - X	1460	130	1460		Petitions to the Commissioner				
Claims Substituting Substitutin	1807	50	180		Processing fee under 37 CFR 1.17(q)	-			
Large Entity   Small Entity		180	1806		Submission of Information Disclosure Stmt	$\Box$			
Fee Fee Fee Fee Description	1806				Recording each patent assignment per				
Code (\$)	8021	40	802		property (times number of properties)				
1202 18 2202 9 Claims in excess of 2 1201 84 2201 42 Independent claims in excess of 3	1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))				
1203 280 2203 140 Multiple dependent claim, if not paid	1810	750	2816	375	For each additional invention to be examined (37 CFR 1.129(b))				
1204 84 2204 42 ** Reissue independent claims over original patent	1801	750	2801	375	5 Request for Continued Examination (RCE)				
1205 18 2205 9 ** Reissue claims in excess of 20	1802	900	1802	900	Request for expedited examination				
and over original patent	of a design application Other fee (specify)								
SUBTOTAL (2) (\$)				Filina F	ee Paid SUBTOTAL (3) (\$) 16	50			
binamoer previously part, in greater, not recisated, see above									
SUBMITTED BY (Complete of applicable)									
Name (Punitive) Harriet M. Strimpel Registration No. 37008 Telephone 9789275054									

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